

FB8016I: RESEARCH IN FINANCE

Effective Term

Semester A 2025/26

Part I Course Overview

Course Title

Research in Finance

Subject Code

FB - College of Business (FB)

Course Number

8016I

Academic Unit

College of Business (CB)

College/School

College of Business (CB)

Course Duration

One Semester

Credit Units

3

Level

D8 - Professional Doctorate

Medium of Instruction

Chinese (Putonghua)

Medium of Assessment

Chinese

Prerequisites

Nil

Precursors

Nil

Equivalent Courses

Nil

Exclusive Courses

Nil

Part II Course Details

Abstract

This course aims to introduce students to contemporary research issues in finance. Through the sharing of research work and experience of the teaching staff members, students will learn how to select a research topic, design research

framework, and conduct data collection and analysis. Upon completion of the course, students should better understand research in finance and be prepared for their own research in future.

Course Intended Learning Outcomes (CILOs)

CILOs		Weighting (if app.)	DEC-A1	DEC-A2	DEC-A3
1	Understand contemporary issues and research in Finance		x	x	x
2	Identify potential research opportunities and assess research methods		x	x	x
3	Explain how to do dissertation and where to find required data		x	x	x

A1: Attitude

Develop an attitude of discovery/innovation/creativity, as demonstrated by students possessing a strong sense of curiosity, asking questions actively, challenging assumptions or engaging in inquiry together with teachers.

A2: Ability

Develop the ability/skill needed to discover/innovate/create, as demonstrated by students possessing critical thinking skills to assess ideas, acquiring research skills, synthesizing knowledge across disciplines or applying academic knowledge to real-life problems.

A3: Accomplishments

Demonstrate accomplishment of discovery/innovation/creativity through producing /constructing creative works/new artefacts, effective solutions to real-life problems or new processes.

Learning and Teaching Activities (LTAs)

LTAs		Brief Description	CILO No.	Hours/week (if applicable)
1	LTA 1	Class attendance and participation	1, 2, 3	
2	LTA 2	Report and presentation	1, 2, 3	
3	LTA 3	Term paper	1, 2, 3	

Assessment Tasks / Activities (ATs)

ATs		CILO No.	Weighting (%)	Remarks ("-" for nil entry)	Allow Use of GenAI?
1	Class attendance and participation	1, 2, 3	10	The use of generative AI tools is recommended. However, copyright compliance must be carefully considered to avoid legal risks.	Yes

2	Report and presentation	1, 2, 3	40	The use of generative AI tools is recommended. However, copyright compliance must be carefully considered to avoid legal risks.	Yes
3	Term paper	1, 2, 3	50	The use of generative AI tools is recommended. However, copyright compliance must be carefully considered to avoid legal risks.	Yes

Continuous Assessment (%)

100

Assessment Rubrics (AR)**Assessment Task**

Class attendance and participation

Criterion

Class attendance and participation (10%): Through in-class participation and discussion, students will gain a better understanding of Finance and relevant research issues and problems

Excellent

Strong evidence of original thinking; good organization, capacity to analyse and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

Good

Evidence of grasp of subject, some evidence of critical capacity and analytic ability; reasonable understanding of issues; evidence of familiarity with literature.

Fair

Student who is profiting from the university experience; understanding of the subject; ability to develop solutions to simple problems in the material.

Marginal

Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

Little evidence of familiarity with the subject matter; weakness in critical and analytic skills; limited, or irrelevant use of literature.

Assessment Task

Report and presentation

Criterion

Group report (40%): Each group of students will choose and read a research article (assigned by the instructor). Each group will analyze this research article, discuss the objectives, methods and contributions of the research as well as its shortfalls

etc., present the research analysis report in class, and explore potential research opportunities and research methods in this respect.

Excellent

Strong evidence of original thinking; good organization, capacity to analyse and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

Good

Evidence of grasp of subject, some evidence of critical capacity and analytic ability; reasonable understanding of issues; evidence of familiarity with literature.

Fair

Student who is profiting from the university experience; understanding of the subject; ability to develop solutions to simple problems in the material.

Marginal

Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

Little evidence of familiarity with the subject matter; weakness in critical and analytic skills; limited, or irrelevant use of literature.

Assessment Task

Term paper

Criterion

Individual research proposal (50%): Students are required to choose a research topic or problem which they are interested in addressing and can contribute to their jobs or industries and submit a research proposal including the research topic/problem, rationale, concept map/research framework, implementation (e.g. research method and how to collect data) and expected contributions.

Excellent

Strong evidence of original thinking; good organization, capacity to analyse and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

Good

Evidence of grasp of subject, some evidence of critical capacity and analytic ability; reasonable understanding of issues; evidence of familiarity with literature.

Fair

Student who is profiting from the university experience; understanding of the subject; ability to develop solutions to simple problems in the material.

Marginal

Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

Little evidence of familiarity with the subject matter; weakness in critical and analytic skills; limited, or irrelevant use of literature.

Part III Other Information

Keyword Syllabus

- Basic Concepts and Overview
- Introduction to Research Areas in Finance
- The Effect of U.S. Trade Deficits on Stock Prices
- The Effect of Market Segmentation on Stock Prices
- Market Liberalization within a Country and its Effect
- Overseas Listing as a Policy Tool
- How Cross-listings from an Emerging Economy Affect the Host Market?
- Earnings Management
- Audit Quality Assessment of \Listed Companies in China
- Nonnegotiable shares, Controlling Stockholders and Dividend Payments in China
- China Share issue Privatization
- Singapore Government-linked Companies and Their Performance
- Dynamic Study on Audit Fee
- Skewness Persistence with Optimal Portfolio Selection

Reading List

Compulsory Readings

Title	
1	An up-to-date reading list containing book chapters and recent journal articles on each specific topic will be distributed in class.

Additional Readings

Title	
1	An up-to-date reading list containing book chapters and recent journal articles on each specific topic will be distributed in class.