

FB6873P: CORPORATE GOVERNANCE–EXTERNAL MECHANISMS AND CHINA PRACTICE

Effective Term

Semester A 2025/26

Part I Course Overview

Course Title

Corporate Governance–External Mechanisms and China Practice

Subject Code

FB - College of Business (FB)

Course Number

6873P

Academic Unit

College of Business (CB)

College/School

College of Business (CB)

Course Duration

Non-standard Duration

Other Course Duration

Intensive mode: 2 days

Credit Units

1

Level

P5, P6 - Postgraduate Degree

Medium of Instruction

Chinese (Putonghua)

Medium of Assessment

Chinese

Prerequisites

Nil

Precursors

Nil

Equivalent Courses

FB5690 Corporate Governance

Exclusive Courses

Nil

Part II Course Details

Abstract

This course aims to:

Enable students to understand the external mechanisms of corporate governance and how to incorporate external mechanisms in constructing an effective corporate governance system. Enable students to understand the corporate governance practices in mainland China and Hong Kong listed companies.

Course Intended Learning Outcomes (CILOs)

CILOs		Weighting (if app.)	DEC-A1	DEC-A2	DEC-A3
1	Understand the external mechanisms of corporate governance.	25	x	x	
2	Construct an effective corporate governance framework that incorporates external corporate governance mechanisms.	25	x	x	
3	Solve corporate governance issues using the framework and theories developed in the course.	25		x	x
4	Understand the corporate governance practices in mainland China and Hong Kong listed companies.	25		x	x

A1: Attitude

Develop an attitude of discovery/innovation/creativity, as demonstrated by students possessing a strong sense of curiosity, asking questions actively, challenging assumptions or engaging in inquiry together with teachers.

A2: Ability

Develop the ability/skill needed to discover/innovate/create, as demonstrated by students possessing critical thinking skills to assess ideas, acquiring research skills, synthesizing knowledge across disciplines or applying academic knowledge to real-life problems.

A3: Accomplishments

Demonstrate accomplishment of discovery/innovation/creativity through producing /constructing creative works/new artefacts, effective solutions to real-life problems or new processes.

Learning and Teaching Activities (LTAs)

LTAs		Brief Description	CILO No.	Hours/week (if applicable)
1	Interactive lectures*	To impart key knowledge regarding the nature of corporations, information asymmetry, agency theory, ethics and corporate governance structures. To set the agenda and questions for class discussions.	1, 2	

2	Case analysis*	Students will work: - to select a case for analysis; - to identify the key corporate governance issues; - provide solutions on how to solve these problems. - summarise their analyses in a written report.	3, 4	
3	Presentation*	Students will make a presentation based on the submitted case report.	3, 4	

Additional Information for LTAs

*DEC LTA element

Assessment Tasks / Activities (ATs)

	ATs	CILO No.	Weighting (%)	Remarks ("-" for nil entry)	Allow Use of GenAI?
1	Participation and case discussion# Students are required to contribute to in-class discussions or case solutions.	1, 2	25	-	Yes
2	Case analysis# Each student is required to identify the key corporate governance problems in the case and suggest possible solutions. Each student is required to submit a case report.	3, 4	50	-	Yes
3	Presentation# Each student is required to make a presentation based on the submitted report.	3, 4	25	-	Yes

Continuous Assessment (%)

100

Additional Information for ATs

DEC AT element

Assessment Rubrics (AR)

Assessment Task

Participation and case discussion (for students admitted before Semester A 2022/23 and in Semester A 2024/25 & thereafter)

Criterion

Ability to contribute to in-class discussions

Excellent

(A+, A, A-) High

Good

(B+, B, B-) Significant

Fair

(C+, C, C-) Moderate

Marginal

(D) Basic

Failure

(F) Not even reaching marginal levels

Assessment Task

Case analysis (for students admitted before Semester A 2022/23 and in Semester A 2024/25 & thereafter)

Criterion

Ability to identify key corporate governance problems in the case and suggest possible solutions

Excellent

(A+, A, A-) High

Good

(B+, B, B-) Significant

Fair

(C+, C, C-) Moderate

Marginal

(D) Basic

Failure

(F) Not even reaching marginal levels

Assessment Task

Presentation (for students admitted before Semester A 2022/23 and in Semester A 2024/25 & thereafter)

Criterion

Ability to present the key corporate governance problems and solutions

Excellent

(A+, A, A-) High

Good

(B+, B, B-) Significant

Fair

(C+, C, C-) Moderate

Marginal

(D) Basic

Failure

(F) Not even reaching marginal levels

Assessment Task

Participation and case discussion (for students admitted from Semester A 2022/23 to Summer Term 2024)

Criterion

Ability to contribute to in-class discussions

Excellent

(A+, A, A-) High

Good

(B+, B) Significant

Marginal

(B-, C+, C) Basic

Failure

(F) Not even reaching marginal levels

Assessment Task

Case analysis (for students admitted from Semester A 2022/23 to Summer Term 2024)

Criterion

Ability to identify key corporate governance problems in the case and suggest possible solutions

Excellent

(A+, A, A-) High

Good

(B+, B) Significant

Marginal

(B-, C+, C) Basic

Failure

(F) Not even reaching marginal levels

Assessment Task

Presentation (for students admitted from Semester A 2022/23 to Summer Term 2024)

Criterion

Ability to present the key corporate governance problems and solutions

Excellent

(A+, A, A-) High

Good

(B+, B) Significant

Marginal

(B-, C+, C) Basic

Failure

(F) Not even reaching marginal levels

Part III Other Information

Keyword Syllabus

Introduction to Corporate Governance Related Social Economic Theories. Introduction to Development of Corporate Governance. Corporate Governance Environment. Internal Corporate Governance Factors. Company Secretary and Corporate Administrator. Intellectual Copyright, Patent and Asset Protection.

Reading List**Compulsory Readings**

Title	
1	Larcker D., and Tayan, B., Corporate governance matters: A closer look at organizational choices and their consequences 2nd edition, Pearson Education.
2	姜付秀，肯尼斯 A. 金，王运通。公司治理：西方理论与中国实践。北京大学出版社。ISIN 978-7-301-27429-3

Additional Readings

Title	
1	Kim, K. & Nofsinger J., Corporate Governance 3rd edition, Pearson – Prentice Hall.
2	Weston, J. Siu J. & Johnson B., Takeovers, Restructuring, & Corporate Governance 4th edition Prentice Hall.
3	Goergen, M., International Corporate Governance 1st edition, Pearson Education.