

AC5791P: CHINESE LAW AND FINANCIAL REGULATIONS

Effective Term

Semester A 2025/26

Part I Course Overview

Course Title

Chinese Law and Financial Regulations

Subject Code

AC - Accountancy

Course Number

5791P

Academic Unit

Accountancy (AC)

College/School

College of Business (CB)

Course Duration

Non-standard Duration

Other Course Duration

Intensive mode: 3 days

Credit Units

1.5

Level

P5, P6 - Postgraduate Degree

Medium of Instruction

Other Languages

Other Languages for Medium of Instruction

Putonghua supplemented by English

Medium of Assessment

Chinese

Prerequisites

Nil

Precursors

Nil

Equivalent Courses

Nil

Exclusive Courses

Nil

Part II Course Details**Abstract**

This course aims to introduce the study of financial laws and regulations, and the regulatory framework within which the business and public sector operate in Hong Kong and China, and to study the rules governing the operation of multinational organizations operating in the Chinese Mainland. It also aims to allow students to develop advanced knowledge of the substantive rules in respect of business and financial systems in the Chinese organizations.

Course Intended Learning Outcomes (CILOs)

CILOs		Weighting (if app.)	DEC-A1	DEC-A2	DEC-A3
1	Identify, describe and synthesize principles of Chinese business and financial organizations.		x	x	
2	Apply and critically analyze the legal principles to solve factual problems relating to business, finance, or insurance issues in national and regional disputes.		x	x	x
3	Explain the result of independent and comparative research on the topics of financial and corporate law.		x	x	

A1: Attitude

Develop an attitude of discovery/innovation/creativity, as demonstrated by students possessing a strong sense of curiosity, asking questions actively, challenging assumptions or engaging in inquiry together with teachers.

A2: Ability

Develop the ability/skill needed to discover/innovate/create, as demonstrated by students possessing critical thinking skills to assess ideas, acquiring research skills, synthesizing knowledge across disciplines or applying academic knowledge to real-life problems.

A3: Accomplishments

Demonstrate accomplishment of discovery/innovation/creativity through producing /constructing creative works/new artefacts, effective solutions to real-life problems or new processes.

Learning and Teaching Activities (LTAs)

LTAs		Brief Description	CILO No.	Hours/week (if applicable)
1	Lecture	Students will learn important concept in relation to the course subject matter through interactive lectures.	1, 2, 3	
2	Group Discussion	Students will participate in group discussion to exchange ideas in relation to the course subject matter. Lecturer will serve a moderator role to facilitate the class discussion.	1, 2, 3	

Assessment Tasks / Activities (ATs)

ATs		CILO No.	Weighting (%)	Remarks ("- " for nil entry)	Allow Use of GenAI?
1	In-class Discussion: Students will participate actively in class discussion and analyze different cases together using knowledge learned from the course.	1, 2, 3	30	-	Yes
2	Case Analysis Report: Students will be assessed via the report their understanding of legal concepts in China learned throughout the course, and their ability to apply legal knowledge and practices to the business sector.	1, 2, 3	70	-	Yes

Continuous Assessment (%)

100

Assessment Rubrics (AR)**Assessment Task**

In-class Discussion (for students admitted before Semester A 2022/23 and in Semester A 2024/25 & thereafter)

Criterion

Identify the concepts, principles, and theories of legal issues.

Apply knowledge to specific legal problems, discuss questions, and comment on legal phenomenon.

Apply legal writing and research skills.

Demonstrate ability to engage in argument analysis for formulating innovative solutions to designated fact-based questions.

Excellent

(A+, A, A-) Strong evidence of original thinking; good organization skills, capacity to analyze and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

Good

(B+, B, B-) Evidence of grasp of intended learning outcomes, critical thinking and analytic ability; rational analytic ability towards issues; familiar with relevant literature.

Fair

(C+, C, C-) Student who is profiting from the learning experience; understanding of the subject; ability to develop solutions to simple problems.

Marginal

(D) Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

(F) Little evidence of familiarity with the subject matter; lacking critical and analytic skills; limited skills in applying relevant literature.

Assessment Task

Case Analysis Report (for students admitted before Semester A 2022/23 and in Semester A 2024/25 & thereafter)

Criterion

Identify the concepts, principles, and theories of legal issues.

Apply knowledge to specific legal problems, discuss questions, and comment on legal phenomenon.

Apply legal writing and research skills.

Demonstrate ability to engage in argument analysis for formulating innovative solutions to designated fact-based questions.

Excellent

(A+, A, A-) Strong evidence of original thinking; good organization skills, capacity to analyze and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

Good

(B+, B, B-) Evidence of grasp of intended learning outcomes, critical thinking and analytic ability; rational analytic ability towards issues; familiar with relevant literature.

Fair

(C+, C, C-) Student who is profiting from the learning experience; understanding of the subject; ability to develop solutions to simple problems.

Marginal

(D) Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

(F) Little evidence of familiarity with the subject matter; lacking critical and analytic skills; limited skills in applying relevant literature.

Assessment Task

In-class Discussion (for students admitted from Semester A 2022/23 to Summer Term 2024)

Criterion

Identify the concepts, principles, and theories of legal issues.

Apply knowledge to specific legal problems, discuss questions, and comment on legal phenomenon.

Apply legal writing and research skills.

Demonstrate ability to engage in argument analysis for formulating innovative solutions to designated fact-based questions.

Excellent

(A+, A, A-) Strong evidence of original thinking; good organization skills, capacity to analyze and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

Good

(B+, B) Student who is profiting from the learning experience; understanding of the subject; ability to develop solutions to simple problems.

Marginal

(B-, C+, C) Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

(F) Little evidence of familiarity with the subject matter; lacking critical and analytic skills; limited skills in applying relevant literature.

Assessment Task

Case Analysis Report (for students admitted from Semester A 2022/23 to Summer Term 2024)

Criterion

Identify the concepts, principles, and theories of legal issues.

Apply knowledge to specific legal problems, discuss questions, and comment on legal phenomenon.

Apply legal writing and research skills.

Demonstrate ability to engage in argument analysis for formulating innovative solutions to designated fact-based questions.

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(A+, A, A-) Strong evidence of original thinking; good organization skills, capacity to analyze and synthesize; superior grasp of subject matter; evidence of extensive knowledge base.

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(B+, B) Student who is profiting from the learning experience; understanding of the subject; ability to develop solutions to simple problems.

Marginal

(B-, C+, C) Sufficient familiarity with the subject matter to enable the student to progress without repeating the course.

Failure

(F) Little evidence of familiarity with the subject matter; lacking critical and analytic skills; limited skills in applying relevant literature.

Part III Other Information

Keyword Syllabus

Business and financial laws and regulations of Hong Kong and China; Chinese corporate laws; laws related to the finance and capital markets; foreign corporations and joint venture corporation.

Reading List

Compulsory Readings

Title	
1	徐利, 中国财政热点问题研究, 中国财政经济出版社出版, (2012)

Additional Readings

Title	
1	Dalhuisen, J. H. (Jan Hendrik), Dalhuisen on transnational and comparative commercial, financial and trade law, (Oxford : Hart, 2007)

2	E.P. Ellinger, Eva Lomnicka, and Richard Hooley, Ellinger's modern banking law (Oxford: New York: Oxford University Press, c2006)
3	Fong-Chung Hsu ... [et al.], Financial markets in Hong Kong: law and practice, (Oxford; New York: Oxford University Press, 2006)
4	Editor-in-chief, Priscilla Leung Mei-fun; contributors, Rajesh Sharma, Jia Yan, Banking law of the People's Republic of China (Welwyn Garden City, Hertfordshire [England]: EMIS Professional, c2004)
5	王貴國，〈國際貨幣金融法〉，(第二版)2002 年，北京大學出版社
6	William Blair, ed., Butterworths Banking Law Handbook, 6th ed., (London: Butterworths, 2002)
7	James R. Barth... [et al.], Financial restructuring and reform in post-WTO China, (Alphen aan den Rijn, The Netherlands: Kluwer Law International, 1997)